
Commonly Used Physician Self-Referral Law Exceptions*

General Exceptions Related to Ownership/Investment and Compensation Arrangements (411.355)

- Physician Services
- In-Office Ancillary Services
- Services Furnished by an Organization to Enrollees
- Academic Medical Centers
- Implants Furnished by an ASC
- EPO and Other Dialysis-Related Drugs
- Preventive Screening Tests, Immunizations, and Vaccines
- Eyeglasses and Contact Lenses Following Cataract Surgery
- Intra-Family Rural Referrals

Exceptions Related to Ownership or Investment Interests (411.356)

- Publicly-Traded Securities
- Mutual Funds
- Specific Providers (Rural Providers, Hospitals in Puerto Rico, “Whole” Hospitals)

Exceptions Related to Compensation Arrangements (411.357)

- Rental of Office Space
- Rental of Equipment
- Bona Fide Employment Relationships
- Personal Services Arrangements
- Physician Recruitment
- Isolated Transactions
- Certain Arrangements with Hospitals (remuneration unrelated to DHS)
- Group Practice Arrangements with Hospitals
- Payments by a Physician
Charitable Donations by a Physician
Nonmonetary Compensation
Fair Market Value Compensation
Medical Staff Incidental Benefits
Risk-Sharing Arrangements
Compliance Training
Indirect Compensation
Referral Services
Obstetrical Malpractice Insurance Subsidies
Professional Courtesy
Retention Payments in Underserved Areas
Community-wide Health Information Systems
Electronic Prescribing Items and Services
Electronic Health Records Items and Services

**Designated Health Services (“DHS”):**

1. Clinical laboratory services.
2. Physical therapy services.
3. Occupational therapy services.
4. Outpatient speech-language pathology services.
5. Radiology and certain other imaging services.
6. Radiation therapy services and supplies.
7. Durable medical equipment and supplies.
8. Parenteral and enteral nutrients, equipment, and supplies.
10. Home health services.
11. Outpatient prescription drugs.
12. Inpatient and outpatient hospital services.